

ORIGINAL

OPEN MEETING AGENDA ITEM



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BEFORE THE ARIZONA CORPORATION COMMISSION

RECEIVED

COMMISSIONERS

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

2009 AUG 12 A 11:07
AZ CORP COMMISSION
DOCKET CONTROL

Arizona Corporation Commission

DOCKETED

AUG 12 2009

DOCKETED BY

IN THE MATTER OF:

DOCKET NO. S-03578A-09-0314

DEUTSCHE BANK SECURITIES, INC.

RESPONDENT.

PROCEDURAL ORDER
GRANTING TEMPORARY
ADMISSION PRO HAC VICE

BY THE COMMISSION:

On August 11, 2009, pursuant to Arizona Supreme Court Rule 38(a), Brian J. Schulman, an attorney with Greenberg Trauric, LLP filed with the Arizona Corporation Commission ("Commission") a Motion and Consent of Local Counsel for Temporary *Pro Hac Vice* Admission of Christian J. Mixter in the above-captioned matter, on behalf of the Respondent, Deutsche Bank Securities, Inc. The Motion lists Brian J. Schulman as the designated member of the Arizona State Bar with whom communications may be made and upon whom papers should be served.

According to the Motion, Mr. Mixter desires to appear on behalf of his client, Deutsche Bank Securities, Inc. at the Commission Open Meeting scheduled for Thursday, August 13, 2009. Attached to the Motion is a copy of the Application for Appearance *Pro Hac Vice* filed with the State Bar of Arizona for Mr. Mixter and copies of his certificates of good standing from the jurisdictions in which he has been admitted to practice law.

On August 11, 2009, the Commission's Securities Division filed its Response to the Motion, indicating that it has no objection to the Motion.

Accordingly, for good cause shown and pursuant to Rule 38(a)3, the request for temporary admission *pro hac vice* admission is reasonable and should be granted, provided that the completion of the application procedures occurs within 45 days of this procedural order.

1 .IT IS THEREFORE ORDERED that the request for temporary admission *pro hac vice* of
2 Christian J. Mixer to appear before the Commission is hereby granted.

3 IT IS FURTHER ORDERED that Christian Mixer's address for service of papers and other
4 communication shall be:

5 Christian J. Mixer
6 MORGAN LEWIS & BOCKIUS LLP
7 1111 Pennsylvania Avenue, N.W.
8 Washington, D.C. 20002-2541

9 IT IS FURTHER ORDERED that the address for service of papers and other communication
10 for the Arizona-licensed attorney designated as local counsel, Brian J. Schulman, shall be:

11 Brian J. Schulman
12 GREENBERG TRAURIG, LLP
13 2375 East Camelback Road, Suite 700
14 Phoenix, AZ 85016

15 IT IS FURTHER ORDERED that Christian J. Mixer shall complete the application
16 procedures within 45 days of the date of this procedural order.

17 IT IS FURTHER ORDERED that withdrawal of representation must be made in compliance
18 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the
19 Rules of the Arizona Supreme Court). Representation before the Commission includes the obligation
20 to appear at all hearings and procedural conferences, as well as all Open Meetings for which the
21 matter is scheduled for discussion, unless counsel has previously been granted permission to
22 withdraw by the Administrative Law Judge.

23 DATED this 12th day of August, 2009.

24 
25 LYN FARMER
26 CHIEF ADMINISTRATIVE LAW JUDGE
27
28

1 Copies of the foregoing mailed/delivered
2 this 12th day of August, 2009 to:

3 Brian J. Schulman
4 GREENBERG TRAURIG, LLP
5 2375 East Camelback Road, Suite 700
6 Phoenix, AZ 85016

7 Christian J. Mixter
8 MORGAN LEWIS & BOCKIUS LLP
9 1111 Pennsylvania Avenue, N.W.
10 Washington, D.C. 20002-2541

11 Matt Neubert, Director
12 Securities Division
13 ARIZONA CORPORATION COMMISSION
14 1300 W. Washington St
15 Phoenix, AZ 85007

16 By: 

17 Debra Broyles
18 Secretary to Lyn Farmer
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